

The University of New Mexico
Board of Regents' Audit and Compliance Committee
May 5, 2016 – 9:00 AM
Roberts Room
Agenda

ACTION ITEMS

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from March 3, 2016
3. The following 2016 calendar year meeting dates are being presented for Committee approval. The Committee meets at 9:00 AM in the Roberts Room. This schedule will accommodate both entrance and exit conferences for the FY16 Financial Statements audit.

August 4, 2016

October 20, 2016 (Exit Conference for FY16 External Audit)

INFORMATION ITEMS

4. Advisors' Comments
5. Follow-Up Items from March 3, 2016 Meeting
6. Fiscal Year 2016 Financial Statements External Audit Entrance Conference (*KPMG, Moss Adams, and Elizabeth Metzger, University Controller*)
7. Main Campus Chief Compliance Officer Status Report (*Helen Gonzales, UNM Main Campus Chief Compliance Officer*)
8. Status of Audit Recommendations (*Chien-chih Yeh, Internal Audit Manager*)
Implemented
Pending
9. Director of Internal Audit Status Report (*Manu Patel, Internal Audit Director*)

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EXECUTIVE SESSION

10. Vote to close the meeting and to proceed in Executive Session as follows:

- a. Discussion of draft Internal Audit Reports, and discussions of information subject to attorney-client privilege pursuant RPM 1.2
- b. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
- c. Schedule of Audits in Process and FY16 Audit Work plan, pursuant to RPM 1.2
- d. Vote to re-open the meeting.

11. Certification that only those matters described in Agenda item # 10 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session

12. Adjournment